### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty. Dkt. No: 5681-66302 § CERTIFICATE OF EXPRESS MAIL § Inventor(s): UNDER 37 C.F.R. §1.10 § Ray Y Lai "Express Mail" mailing label number: EV318246926US § DATE OF DEPOSIT: September 9, 2003 § I hereby certify that this paper or fee is being deposited with the § United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated § above and is addressed to: Title: System and Method for Commissioner for Patents Generating Web Service Mailstop Patent Application § P.O. Box 1450 Architectures using a Web Alexandria, VA 22313-1450 Services Structured Methodology § Derrick Brown

## **UTILITY PATENT APPLICATION TRANSMITTAL**

(For new non-provisional applications under 37 CFR § 1.53(b))

| Continuing Application: Continuation-in-part (CIP) of prior application No.: 10/642,928 |                                               |  |  |  |
|-----------------------------------------------------------------------------------------|-----------------------------------------------|--|--|--|
| Inventor(s) Ray Y Lai                                                                   | Filed: August 18, 2003                        |  |  |  |
| Title: Structured Methodology and Design                                                | Examiner: Unknown                             |  |  |  |
| Patterns for Web Services                                                               | Group/Art Unit: Unknown                       |  |  |  |
|                                                                                         | Assignee: Sun Microsystems, Inc.              |  |  |  |
| Y                                                                                       | Recorded at Reel N/A, Frame N/A               |  |  |  |
| Power of Attorney in Prior Application: Robert C. Kowert                                |                                               |  |  |  |
| Mey                                                                                     | ertons, Hood, Kivlin, Kowert, & Goetzel, P.C. |  |  |  |
| P.O.                                                                                    | P.O. Box 398                                  |  |  |  |
| Austin, Texas 78767-0398                                                                |                                               |  |  |  |

#### **Application Elements**

1. Filing Fee

The filing fee is calculated as shown below.

| Total Claims                       | 74 | -20= | 54 | x \$18.00= | \$972.00   |
|------------------------------------|----|------|----|------------|------------|
| Independent                        | }  |      |    |            |            |
| Claims                             | 4  | -3 = | 1  | x \$84.00= | \$84.00    |
| Multiple Deper                     | 0  |      |    |            |            |
| Basic Fee:                         |    |      |    |            | \$750.00   |
| Sub-Total:                         |    |      |    |            | \$1,806.00 |
| Reduction by 50% for Small Entity: |    |      |    |            | 0          |
| Assignment Fee                     |    |      |    |            | \$40.00    |
| Total:                             |    |      |    |            | \$1,846.00 |

- A Fee Authorization Form authorizing a deposit account debit in the amount of \$1,846.00 is enclosed. The Commissioner is hereby authorized to charge any other fees which may be required or credit any overpayment to Meyertons, Hood, Kivlin, Kowert, & Goetzel, P.C., Deposit Account No. 501505/5681-66302/RCK.
- 2. Specification

246 page(s) of specification; 17 page(s) of claims, 1 page(s) of abstract

3. Drawings



## **PATENT** IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: Unknown Examiner: Unknown Filed: Herewith Group/Art Unit: Inventor(s):

Ray Y Lai

Title: System and Method for

> Generating Web Service Architectures using a Web

Services Structured Methodology

Unknown Atty. Dkt. No: 5681-66302

CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. §1.10

EV 318246926 US "Express Mail" mailing label number: DATE OF DEPOSIT: September 9, 2003

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Commissioner for Patents Mailstop Patent Application P.O. Box 1450 Alexandria, VA 22313-1450

## **FEE AUTHORIZATION**

§

§ §

§

Commissioner for Patents Mailstop Patent Application P.O. Box 1450 Alexandria, VA 22313-1450

The Commissioner is hereby authorized to charge the following fee to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account Number 501505/5681-66302/RCK:

Fee: Filing Fee Amount: \$750.00 Attorney Docket No.: <u>5681-66302</u> Fee: Excess Claims Amount: \$1,056.00 Attorney Docket No.: <u>5681-66302</u>

Respectfully submitted,

Robert C. Kowert Reg. No. 39,255

Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C.

P.O. Box 398

Austin, TX 78767-0398

(512) 853-8800

Date: September 9, 2003

| Formal Fi             | igure(s) <u>1-123</u> on <u>72</u> sh | eet(s)                                                                                                                     |
|-----------------------|---------------------------------------|----------------------------------------------------------------------------------------------------------------------------|
| 4. Oath or Decl       | laration                              |                                                                                                                            |
|                       | executed                              |                                                                                                                            |
|                       |                                       | n (see 37 C.F.R. § 1.63(d))                                                                                                |
|                       |                                       | ontinuation or divisional applications):                                                                                   |
|                       |                                       | ntor(s) named in the prior non-provisional application:                                                                    |
| ب ا                   | roto the following inve               | mor(s) harmon in the prior non provisional approaction.                                                                    |
| 5. The entire di      | sclosure of the prior ap              | eted are set forth on a signed sheet attached hereto.  oplication(s) referred to above is/are considered to be part of the |
|                       |                                       | s hereby incorporated by reference herein.                                                                                 |
|                       | Computer Program (Ap                  |                                                                                                                            |
|                       |                                       | uence Submission (if applicable, all necessary)                                                                            |
|                       | uter Readable copy                    |                                                                                                                            |
|                       | Copy (identical to com                |                                                                                                                            |
|                       | nent verifying identity of            | of above copies                                                                                                            |
| 8. Assignment l       |                                       |                                                                                                                            |
|                       |                                       | nt from parent application evidencing assignment                                                                           |
| 10. Power of Attorn   | -                                     |                                                                                                                            |
|                       |                                       |                                                                                                                            |
|                       |                                       | rs in the original papers of the prior application.                                                                        |
|                       |                                       | ear in the original papers, a copy of the power in the prior                                                               |
|                       | n is enclosed.                        |                                                                                                                            |
|                       | Disclosure Statement                  | (IDS)                                                                                                                      |
|                       | s of IDS Citations                    |                                                                                                                            |
| ☐ The Co              | ommissioner is hereby                 | specifically requested to make the IDS(s), as well as any prior art                                                        |
|                       | in the prior application              | (s), of record in connection with this application.                                                                        |
| 12. Amendments        |                                       | •                                                                                                                          |
|                       | iminary amendment is                  |                                                                                                                            |
|                       |                                       | im(s) before calculating the filing fee. At least one                                                                      |
|                       | ent claim is retained for             | filing purposes.                                                                                                           |
| 13. Return Rece       |                                       |                                                                                                                            |
| 14. Small Entity St   | atus                                  |                                                                                                                            |
| A sma                 | ll entity statement is en             | closed.                                                                                                                    |
| A smal                | Il entity statement was               | filed in the prior non-provisional application and such status is                                                          |
| still proper          | r and desired.                        |                                                                                                                            |
| ☐ Is no lo            | onger claimed.                        |                                                                                                                            |
| 15. Priority of fo    | oreign application num                | ber, filed on in is claimed under                                                                                          |
| 35 U.S.C.             | §§ 119(a)-(d)                         |                                                                                                                            |
| 16. Petition und      | ler 37 C.F.R. § 136 for               | Extension of Time                                                                                                          |
| 17. X Request and     | Certification Under 35                | 5 U.S.C. 122(b)(2)(B)(i)                                                                                                   |
| 18.  Other:           |                                       |                                                                                                                            |
|                       |                                       |                                                                                                                            |
| Address all future of | correspondence to:                    |                                                                                                                            |
|                       | Robert C. Kowert                      |                                                                                                                            |
| Customer No.          | Meyertons, Hood, K                    | ivlin, Kowert, & Goetzel, P.C.                                                                                             |
| 35690                 | P.O. Box 398                          |                                                                                                                            |
|                       | Austin, Texas 78767                   |                                                                                                                            |
|                       | Phone: (512) 853-88                   | 00 Fax: (512) 853-8801                                                                                                     |
|                       |                                       | 0/01                                                                                                                       |
|                       |                                       | 11/1/                                                                                                                      |
|                       | Signature                             | W/ / Chil                                                                                                                  |
|                       | Name                                  | Robert C. Kowert                                                                                                           |
|                       | Registration No.                      | 39,255                                                                                                                     |
|                       | Date                                  | September 9, 2003                                                                                                          |
|                       |                                       |                                                                                                                            |

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Commissioner for Patents M/S Patent Application P.O. Box 1450 Alexandria, VA 22313-1450

# REQUEST AND CERTIFICATION **UNDER** 35 U.S.C. 122(b)(2)(B)(i)

Atty Docket Number: 5681-66301

First Named Inventor: Ray Y Lai

Title:

System and Method for Generating Secure Web Service Architectures using a Web Services Security Assessment Methodology

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b)

September 9, 2003

Date

Robert C. Kowert

Typed or printed name

Reg. No.

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.